

Determinacy within Second Order Arithmetic

P.D. Welch, School of Mathematics, University of Bristol,

CUNY March 22 2013



Determinacy in Second Order Arithmetic, Z_2

- Part I: Determinacy in Z_2 , Second Order Arithmetic.
- Part 2: Determinacy of some similar larger classes within Δ_2^1 .

Theorem (H Friedman -71, Martin)

$$\mathbb{Z}_2 \not\subset \text{Det}(\Sigma_4^0)$$

Theorem (H Friedman -71, Martin)

$Z_2 \not\equiv \text{Det}(\Sigma_4^0)$

Definition (α - Γ)

Let Γ be a pointclass (e.g. Π_3^0, Π_1^1, \dots). Let $\alpha < \omega_1$.

Then a set $B \subseteq \mathbb{R}$ is α - Γ if there is a sequence of Γ -sets $\langle A_\beta \mid \beta \leq \alpha \rangle$ with $A_\alpha = \emptyset$ so that:

$$x \in B \leftrightarrow \mu\beta(x \notin A_\beta) \text{ is odd .}$$

Theorem (Kuratowski 58)

$$\Delta_{n+1}^0 = \bigcup_{\alpha < \omega_1} \alpha\text{-}\Pi_n^0$$

Theorem (Kuratowski 58)

$$\Delta_{n+1}^0 = \bigcup_{\alpha < \omega_1} \alpha\text{-}\Pi_n^0$$

Theorem (essentially Martin)

For every $n \in \mathbb{N}$

$$\mathbf{Z}_2 \vdash \text{Det}(n\text{-}\Pi_3^0)$$

Theorem (Kuratowski 58)

$$\Delta_{n+1}^0 = \bigcup_{\alpha < \omega_1} \alpha\text{-}\Pi_n^0$$

Theorem (essentially Martin)

For every $n \in \mathbb{N}$

$$\mathbf{Z}_2 \vdash \text{Det}(n\text{-}\Pi_3^0)$$

- Let β_0 be least so that $\omega^\omega \cap L_{\beta_0} \models \mathbf{Z}_2$.
Then also β_0 is least so that $L_{\beta_0} \models \mathbf{ZF}^-$.

Theorem (Kuratowski 58)

$$\Delta_{n+1}^0 = \bigcup_{\alpha < \omega_1} \alpha\text{-}\Pi_n^0$$

Theorem (essentially Martin)

For every $n \in \mathbb{N}$

$$\mathbf{Z}_2 \vdash \text{Det}(n\text{-}\Pi_3^0)$$

- Let β_0 be least so that $\omega^\omega \cap L_{\beta_0} \models \mathbf{Z}_2$.
Then also β_0 is least so that $L_{\beta_0} \models \mathbf{ZF}^-$.
- Let α_n be least so that $\omega^\omega \cap L_{\alpha_n} \models \Pi_{n+1}^1\text{-CA}_0$.
Then also α_n is least so that $L_{\alpha_n} \models \Sigma_n\text{-KP}$.

Reverse Mathematical table

Table: Strengths of Determinacy

Γ	$Det(\Gamma)$	over Base Theory	
Δ_1^0	ATR_0	RCA_0	Steel 78
Σ_1^0	ATR_0	RCA_0	Steel 78
$\Sigma_1^0 \wedge \Pi_1^0$	$\Pi_1^1-CA_0$	RCA_0	Tanaka 90
Δ_2^0	$\Pi_1^1-TR_0$	RCA_0	Tanaka 91
Σ_2^0	$\Sigma_1^1-ID_0$	ATR_0	Tanaka 91
Δ_3^0	$[\Sigma_1^1]^{TR}-ID_0$	$\Pi_1^1-TR_0$	Med-Salem, Tanaka 08

Reverse Mathematical table

Table: Strengths of Determinacy

Γ	$Det(\Gamma)$	over Base Theory	
Δ_1^0	ATR_0	RCA_0	Steel 78
Σ_1^0	ATR_0	RCA_0	Steel 78
$\Sigma_1^0 \wedge \Pi_1^0$	$\Pi_1^1-CA_0$	RCA_0	Tanaka 90
Δ_2^0	$\Pi_1^1-TR_0$	RCA_0	Tanaka 91
Σ_2^0	$\Sigma_1^1-ID_0$	ATR_0	Tanaka 91
Δ_3^0	$[\Sigma_1^1]^{TR}-ID_0$	$\Pi_1^1-TR_0$	Med-Salem, Tanaka 08
Σ_3^0	$\Pi_3^1-CA_0 \vdash \dots$ $\Delta_3^1-CA_0 + \dots \not\vdash \dots$		W 09

Reverse Mathematical table

Table: Strengths of Determinacy

Γ	$Det(\Gamma)$	over Base Theory	
Δ_1^0	ATR_0	RCA_0	Steel 78
Σ_1^0	ATR_0	RCA_0	Steel 78
$\Sigma_1^0 \wedge \Pi_1^0$	$\Pi_1^1-CA_0$	RCA_0	Tanaka 90
Δ_2^0	$\Pi_1^1-TR_0$	RCA_0	Tanaka 91
Σ_2^0	$\Sigma_1^1-ID_0$	ATR_0	Tanaka 91
Δ_3^0	$[\Sigma_1^1]^{TR}-ID_0$	$\Pi_1^1-TR_0$	Med-Salem, Tanaka 08
Σ_3^0	$\Pi_3^1-CA_0 \vdash \dots$ $\Delta_3^1-CA_0 + \dots \not\vdash \dots$		W 09
$n-\Pi_3^0$	$\Pi_{n+2}^1-CA_0 \vdash \dots$ $\Delta_{n+2}^1-CA_0 + \dots \not\vdash \dots$		Montalban-Shore 11

Reverse Mathematical table

Table: Strengths of Determinacy

Γ	$Det(\Gamma)$	over Base Theory	
Δ_1^0	ATR_0	RCA_0	Steel 78
Σ_1^0	ATR_0	RCA_0	Steel 78
$\Sigma_1^0 \wedge \Pi_1^0$	$\Pi_1^1-CA_0$	RCA_0	Tanaka 90
Δ_2^0	$\Pi_1^1-TR_0$	RCA_0	Tanaka 91
Σ_2^0	$\Sigma_1^1-ID_0$	ATR_0	Tanaka 91
Δ_3^0	$[\Sigma_1^1]^{TR}-ID_0$	$\Pi_1^1-TR_0$	Med-Salem, Tanaka 08
Σ_3^0	$\Pi_3^1-CA_0 \vdash \dots$ $\Delta_3^1-CA_0 + \dots \not\vdash \dots$		W 09
$n-\Pi_3^0$	$\Pi_{n+2}^1-CA_0 \vdash \dots$ $\Delta_{n+2}^1-CA_0 + \dots \not\vdash \dots$		Montalban-Shore 11
Σ_4^0	$Z_2 \not\vdash \dots$		Friedman-71, Martin

Theorem (W-09)

The theories:

$$\Pi_3^1\text{-CA}_0, \Delta_3^1\text{-CA}_0 + \text{Det}(\Sigma_3^0), \Delta_3^1\text{-CA}_0$$

are in strictly descending order of strength (meaning each proves the existence of a β -model of the next).

Theorem (W-09)

The theories:

$$\Pi_3^1\text{-CA}_0, \Delta_3^1\text{-CA}_0 + \text{Det}(\Sigma_3^0), \Delta_3^1\text{-CA}_0$$

are in strictly descending order of strength (meaning each proves the existence of a β -model of the next).

Whereas:

- $\omega^\omega \cap L_\alpha$ is the least β -model of $\Delta_{n+1}^1\text{-CA}_0$ where L_α is the least Σ_n -admissible model,

one has

- $\omega^\omega \cap L_\alpha$ is the least β -model of $\Pi_{n+1}^1\text{-CA}_0$ where L_α is the least Σ_n -non-projectible model.

Definition (infinitely Σ_2 -nested)

(i) We say that γ is *infinitely Σ_2 -nested* if L_γ is the wellfounded part of some non-wellfounded model \mathcal{M} and there are $\zeta_n, c_n \in On^{\mathcal{M}} (n < \omega)$

$\zeta_0 \leq \dots \leq \zeta_n \leq \dots < \gamma < \dots < c_n < \dots < c_0$ with

$$L_{\zeta_n} \prec_{\Sigma_2} (L_{c_n})^{\mathcal{M}}.$$

Where Σ_3^0 -strategies lie

- Let γ_0 be the least Σ_2 - nested ordinal.
- Let η be least so that every Σ_3^0 -game has a winning strategy definable over L_η .

Where Σ_3^0 -strategies lie

- Let γ_0 be the least Σ_2 - nested ordinal.
- Let η be least so that every Σ_3^0 -game has a winning strategy definable over L_η .

Theorem (W09)

$$\eta = \gamma_0.$$

- γ_0 is non- Σ_1 -projectible, and is less than the first Σ_2 -non-projectible.
- Let β be least with $L_\beta \prec_{\Sigma_1} L_{\gamma_0}$.

Theorem (W-09)

- (i) $\forall \delta < \beta \exists a \Sigma_3^0$ -game, which is a win for Player I with no winning strategy in L_δ .
- (ii) Any Σ_3^0 -game, which is a win for Player I has a winning strategy in L_β .

- γ_0 is non- Σ_1 -projectible, and is less than the first Σ_2 -non-projectible.
- Let β be least with $L_\beta \prec_{\Sigma_1} L_{\gamma_0}$.

Theorem (W-09)

- (i) $\forall \delta < \beta \exists a \Sigma_3^0$ -game, which is a win for Player I with no winning strategy in L_δ .
- (ii) Any Σ_3^0 -game, which is a win for Player I has a winning strategy in L_β .
- Fact: The Σ_1 -Th(L_β) is a complete Σ_3^0 -set.

- γ_0 is non- Σ_1 -projectible, and is less than the first Σ_2 -non-projectible.
- Let β be least with $L_\beta \prec_{\Sigma_1} L_{\gamma_0}$.

Theorem (W-09)

- (i) $\forall \delta < \beta \exists a$ Σ_3^0 -game, which is a win for Player I with no winning strategy in L_δ .
- (ii) Any Σ_3^0 -game, which is a win for Player I has a winning strategy in L_β .
- Fact: The Σ_1 -Th(L_β) is a complete Σ_3^0 -set.

Theorem (W-09)

- (i) Any Σ_3^0 -game, which is a win for Player II has a winning strategy definable over L_{γ_0} . Hence:
- (ii) γ_0 is the least ordinal so that any Σ_3^0 has a winning strategy definable over L_{γ_0} .

Conjecture: γ_0 is the closure ordinal of $\exists\Pi_3^0$ -monotone inductions.

The Consistency of Z_2

Theorem (Montalban-Shore-11)

(i) $Z_2 \not\vdash \forall n < \omega \text{Det}(n\text{-}\Pi_3^0)$.

(ii) $\text{RCA}_0 + \text{Det}(n\text{-}\Pi_3^0) \vdash$ “there is a β -model of $\Delta_{n+1}^1\text{-CA}_0$ ”.

The Consistency of Z_2

Theorem (Montalban-Shore-11)

(i) $Z_2 \not\vdash \forall n < \omega \text{Det}(n\text{-}\Pi_3^0)$.

(ii) $\text{RCA}_0 + \text{Det}(n\text{-}\Pi_3^0) \vdash$ “there is a β -model of $\Delta_{n+1}^1\text{-CA}_0$ ”.

Theorem (Montalban-Shore-11)

$\text{RCA}_0 + \forall n \text{Det}(n\text{-}\Pi_3^0) \vdash \text{Con}(Z_2)$.

Larger classes

Q. What if instead of looking at the determinacy available in the least model of $V = L + ZF^-$, we looked at the least iterable model of $V = L^\mu + ZF^-$?

The context here are the results:

Theorem (Martin-70's)

Let $\alpha \neq \omega^2 \cdot \beta$. Thus suppose $\omega^2 \cdot \beta < \alpha < \omega^2 \cdot (\beta + 1) < \omega_1$. Then:

$ZFC \vdash Det(\alpha\text{-}\Pi_1^1) \leftrightarrow$

$\leftrightarrow \exists a \#$ for an IM (“There exists a β sequence of measurable cardinals.”)

Some work in progress

Conjecture 1: If there is an iterable model of Σ_2 -Separation + $V = L^\mu$ then $\text{Det}(\omega^2 - \Pi_1^1 \star \Sigma_3^0)$.

where $\omega^2 - \Pi_1^1 \star \Sigma_3^0$ is actually $\omega^2 + 1 - \Pi_1^1$ but where we require that A_{ω^2} is a Σ_3^0 set.

Some work in progress

Conjecture 1: If there is an iterable model of Σ_2 -Separation $+V = L^\mu$ then $\text{Det}(\omega^2\text{-}\Pi_1^1 \star \Sigma_3^0)$.

where $\omega^2\text{-}\Pi_1^1 \star \Sigma_3^0$ is actually $\omega^2 + 1\text{-}\Pi_1^1$ but where we require that A_{ω^2} is a Σ_3^0 set.

Conjecture 2: If there is an iterable model of $\text{ZFC}^- + V = L^\mu$ then for every $n < \omega$ $\text{Det}(\omega^2\text{-}\Pi_1^1 \star n\text{-}\Sigma_n^0)$.