

Bounding, splitting and almost disjointness can be quite different

Vera Fischer

University of Vienna

December 9th, 2016

The cardinal invariants of the continuum arise from various combinatorial, topological and algebraic properties of the real line. They are usually defined as the minimum size of a set of reals, satisfying certain property, and take cardinal values μ , where $\aleph_0 < \mu \leq c$.

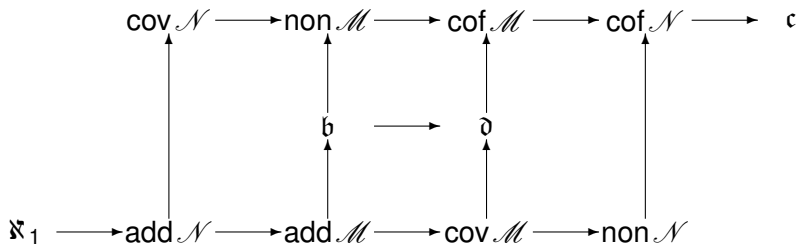
- A family $\mathcal{A} \subseteq [\omega]^\omega$ is **almost disjoint**, if its members have pairwise finite intersections. An infinite a.d. family, is a **mad** family, if it is maximal (with respect to this property) under inclusion. The **almost disjointness number** \mathfrak{a} , is defined as the minimal size of a maximal almost disjoint family.
- A family $\mathcal{B} \subseteq {}^\omega\omega$ is **unbounded**, if for every $f \in {}^\omega\omega$ there is $g \in \mathcal{B}$ such that $g \not\leq^* f$. The **bounding number** is defined as the minimal size of an unbounded family.
- A family $\mathcal{D} \subseteq {}^\omega\omega$ is **dominating**, if for every $f \in {}^\omega\omega$ there is $g \in \mathcal{D}$ such that $f \leq^* g$. The **dominating number**, \mathfrak{d} , is defined as the minimal size of a dominating family.

Let \mathcal{I} be an ideal of subsets of X . Then define:

- $\text{add}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{I} \text{ and } \bigcup \mathcal{A} \notin \mathcal{I}\},$
- $\text{cov}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{I} \text{ and } \bigcup \mathcal{A} = X\},$
- $\text{non}(\mathcal{I}) = \min\{|Y| : Y \subseteq X \text{ and } Y \notin \mathcal{I}\},$ and
- $\text{cof}(\mathcal{I}) = \min\{|\mathcal{A}| : \mathcal{A} \subseteq \mathcal{I} \text{ and } \forall B \in \mathcal{I} \exists A \in \mathcal{A} (B \subseteq A)\}.$

We are interested in the ideals \mathcal{N} of all measure zero sets and \mathcal{M} of all meager sets on the real line.

Cichoń's diagram



- $\mathfrak{b} \leq \mathfrak{d}$, $\mathfrak{b} \leq \mathfrak{a}$
- \mathfrak{d} and \mathfrak{a} are independent

Theorem

Assume CH and let $\lambda = \lambda^\omega$ be a cardinal. Then

$$V^{\mathbb{C}_\lambda} \models (\mathfrak{a} = \aleph_1 \text{ and } \mathfrak{d} = \mathfrak{c} = \lambda).$$

Proof:

Anticipating all Cohen names for reals, recursively construct in V a mad family, which remains maximal in $V^{\mathbb{C}}$. Using the fact that every \mathbb{C}_λ -name for a real, is a \mathbb{C} -name for a real and that \mathbb{C} adds an unbounded real, we obtain the desired result.

Theorem (Folklore)

Assume CH and let $\lambda = \lambda^\omega$ be a cardinal. Then in $V^{\mathbb{C}_\lambda}$ every mad family has size either \aleph_1 or $\mathfrak{c} = \lambda$.

Proof:

Let $\omega_2 \cdot 2 \leq \kappa < \lambda$ and $\{\dot{A}_\alpha\}_{\alpha < \kappa}$ names for a.d. sets in $[\omega]^\omega$. For $n \in \omega$ let $\{p_{n,i}^\alpha\}_{i \in \omega}$ be a max antichain deciding " $n \in \dot{A}_\alpha$ ". That is, there are $\{k_{n,i}^\alpha\}_{n,i \in \omega} \subseteq \{0, 1\}$ such that

$$p_{n,i}^\alpha \Vdash n \in \dot{A}^\alpha \text{ iff } k_{n,i}^\alpha = 1 \text{ and } p_{n,i}^\alpha \Vdash n \notin \dot{A}^\alpha \text{ iff } k_{n,i}^\alpha = 0.$$

Let $B^\alpha = \bigcup_{n,i \in \omega} \text{dom}(p_{n,i}^\alpha)$. Then $|\bigcup_\alpha B^\alpha| \leq \kappa < \lambda$.

By CH, Δ -system Lemma, wlg the $\{B_\alpha\}_{\alpha < \omega_2}$ form a Δ -system with root R . Let $\phi_{\alpha,\beta} : B^\alpha \rightarrow B^\beta$ be a bijection fixing R . Then $\phi_{\alpha,\beta}$ induces an $\psi_{\alpha,\beta} : \mathbb{C}_{B^\alpha} \cong \mathbb{C}_{B^\beta}$, and so an isomorphism between \mathbb{C}_{B^α} -names and \mathbb{C}_{B^β} -names. There are only $2^{\aleph_0} = \aleph_1$ isomorphism types of names and so we may assume that $\psi_{\alpha,\beta}(p_{n,i}^\alpha) = p_{n,i}^\beta$ and $k_{n,i}^\alpha = k_{n,i}^\beta (= k_{n,i})$, e.g. $\psi_{\alpha,\beta}$ identifies \dot{A}^α with \dot{A}^β , and $k_{n,i}^\alpha$ does not depend on α .

Define \dot{A}^κ as follows. Let $B^\kappa \cap \bigcup_{\alpha < \kappa} B^\alpha = R$ and for $\alpha < \omega_2$ let $\phi_{\alpha, \kappa} : B^\alpha \rightarrow B^\kappa$ be a bijection fixing R . Let $\psi_{\alpha, \kappa} : \mathbb{C}_{B^\alpha} \cong \mathbb{C}_{B^\kappa}$ be induced by $\phi_{\alpha, \kappa}$, $p_{n,i}^\kappa := \psi_{\alpha, \kappa}(p_{n,i}^\alpha)$, $k_{n,i}^\kappa := k_{n,i}$.

Let $\beta < \kappa$. There is $\alpha < \omega_2$ such that $B^\beta \cap B^\alpha \subseteq R$, and so $B^\kappa \cap B^\beta = B^\alpha \cap B^\beta$. Thus the canonical mapping extending $\phi_{\alpha, \kappa}$ and sending $B^\alpha \cup B^\beta$ to $B^\kappa \cup B^\beta$ is a bijection, inducing an isomorphism $\mathbb{C}_{B^\alpha \cup B^\beta} \cong \mathbb{C}_{B^\kappa \cup B^\beta}$. Therefore $\Vdash_{\mathbb{C}_\lambda}$ “ $\dot{A}^\kappa \cap \dot{A}^\beta$ is finite” and so $\{\dot{A}_\alpha\}_{\alpha < \kappa}$ is not maximal. \square

How to obtain the consistency of $\mathfrak{d} < \mathfrak{a}$?

- The technique of finite support iteration of ccc posets, can not be used, since at stages of countable cofinality, one adds Cohen and so unbounded reals.
- Another approach would be to use countable support iteration of proper ${}^\omega\omega$ -bounding posets, since such iterations are known to preserve the ground model reals as a dominating family, and so as a witness to $\mathfrak{d} = \omega_1$. The question then reduces to the following. Given a mad family \mathcal{A} , is there a proper ${}^\omega\omega$ -bounding poset which adds a real almost disjoint from \mathcal{A} ?
- How about the consistency of $\mathfrak{d} < \mathfrak{a}$, where $\aleph_2 < \mathfrak{a} \leq \mathfrak{c}$?

Let κ be measurable, \mathcal{U} a κ complete ultrafilter and \mathbb{P} a ccc poset. Then $\mathbb{P}^\kappa/\mathcal{U}$ destroys the maximality of any a.d. family of size $\geq \kappa$ from the \mathbb{P} -extension (note $\mathbb{P} \triangleleft \mathbb{P}^\kappa/\mathcal{U}$), while it preserves all scales of length different from κ . Therefore if $\lambda > \mu > \kappa$ regular, with $\lambda^\omega = \lambda$ and \mathbb{P} is the finite support iteration of Hechler forcing of length μ , then taking ultrapowers λ times, with special care in the limit steps, will produce the consistency of $\mathfrak{b} = \mathfrak{d} = \mu < \mathfrak{a} = \mathfrak{c} = \lambda$.

However the existence of a measurable is not necessary for obtaining the above consistency. In fact the existence of such a measurable can be substituted, in a certain sense, by an [isomorphism of names argument](#).

Template

Let (L, \leq) be a l.o. and for $x \in L$ let $L_x := \{y \in L : y < x\}$. A **template** is a pair $\mathcal{T} = ((L, \leq), \mathcal{I})$ where $\mathcal{I} \subseteq \mathcal{P}(L)$ is closed under finite intersections and unions, contains \emptyset, L ,

- if $x, y \in L$, $y \in L$ and $x < y$ then $\exists A \in \mathcal{I} (A \subseteq L_y \wedge x \in A)$,
- if $A \in \mathcal{I}$, $x \in L \setminus A$, then $A \cap L_x \in \mathcal{I}$,

and \mathcal{I} is well-founded (under \subseteq) with a rank function $\text{Dp} : \mathcal{I} \rightarrow \mathbb{ON}$. Denote $\text{Rk}(\mathcal{T}) = \text{Dp}(L)$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Indexed template

An **indexed template** is a pair $\langle L, \vec{\mathcal{I}} \rangle$, where $\vec{\mathcal{I}} := \langle \mathcal{I}_x \rangle_{x \in L}$ such that L is a linear order, $\mathcal{I}_x \subseteq \mathcal{P}(L_x)$ for all $x \in L$ and

- 1 $\emptyset \in \mathcal{I}_x$,
- 2 \mathcal{I}_x is closed under finite unions and intersections,
- 3 $\mathcal{I}_x \subseteq \mathcal{I}_y$ if $x < y$ and
- 4 $\mathcal{I}(L) := \bigcup_{x \in L} \mathcal{I}_x \cup \{L\}$ is well-founded by the subset relation and witnessed by a rank function denoted Dp .

If $\langle L, \mathcal{I} \rangle$ is a template, then $\langle L, \vec{\mathcal{I}} \rangle$ is an indexed template, where $\vec{\mathcal{I}} = \langle \mathcal{I}_x \rangle_{x \in L}$ for $\mathcal{I}_x := \{A \in \mathcal{I} : A \subseteq L_x\}$.

Let $(L, \vec{\mathcal{I}})$ be an indexed template.

- For $x \in L$ let $\hat{\mathcal{I}}_x$ be the ideal (on L_x) generated by \mathcal{I}_x ;
- For $A \subseteq X$, $\mathcal{I}_x \upharpoonright A = \{A \cap B : B \in \mathcal{I}_x\}$ is the **trace** of \mathcal{I}_x on A ;
- Let $\vec{\mathcal{I}} \upharpoonright A := \langle \mathcal{I}_x \upharpoonright A \rangle_{x \in A}$, $\mathcal{I}(A) = \bigcup_{x \in A} \mathcal{I}_x \upharpoonright A \cup \{A\}$.

Then for each $A \subseteq L$, $\langle A, \vec{\mathcal{I}} \upharpoonright A \rangle$ is an indexed template and $\mathcal{I}(A)$ is well-founded.

Let $(L, \vec{\mathcal{I}})$ be an indexed template.

- For $x \in L$ let $\hat{\mathcal{I}}_x$ be the ideal (on L_x) generated by \mathcal{I}_x ;
- For $A \subseteq X$, $\mathcal{I}_x \upharpoonright A = \{A \cap B : B \in \mathcal{I}_x\}$ is the **trace** of \mathcal{I}_x on A ;
- Let $\vec{\mathcal{I}} \upharpoonright A := \langle \mathcal{I}_x \upharpoonright A \rangle_{x \in A}$, $\mathcal{I}(A) = \bigcup_{x \in A} \mathcal{I}_x \upharpoonright A \cup \{A\}$.

Then for each $A \subseteq L$, $\langle A, \vec{\mathcal{I}} \upharpoonright A \rangle$ is an indexed template and $\mathcal{I}(A)$ is well-founded.

Let $(L, \vec{\mathcal{I}})$ be an indexed template.

- For $x \in L$ let $\hat{\mathcal{I}}_x$ be the ideal (on L_x) generated by \mathcal{I}_x ;
- For $A \subseteq X$, $\mathcal{I}_x \upharpoonright A = \{A \cap B : B \in \mathcal{I}_x\}$ is the **trace** of \mathcal{I}_x on A ;
- Let $\vec{\mathcal{I}} \upharpoonright A := \langle \mathcal{I}_x \upharpoonright A \rangle_{x \in A}$, $\mathcal{I}(A) = \bigcup_{x \in A} \mathcal{I}_x \upharpoonright A \cup \{A\}$.

Then for each $A \subseteq L$, $\langle A, \vec{\mathcal{I}} \upharpoonright A \rangle$ is an indexed template and $\mathcal{I}(A)$ is well-founded.

Let $(L, \vec{\mathcal{I}})$ be an indexed template.

- For $x \in L$ let $\hat{\mathcal{I}}_x$ be the ideal (on L_x) generated by \mathcal{I}_x ;
- For $A \subseteq X$, $\mathcal{I}_x \upharpoonright A = \{A \cap B : B \in \mathcal{I}_x\}$ is the **trace** of \mathcal{I}_x on A ;
- Let $\vec{\mathcal{I}} \upharpoonright A := \langle \mathcal{I}_x \upharpoonright A \rangle_{x \in A}$, $\mathcal{I}(A) = \bigcup_{x \in A} \mathcal{I}_x \upharpoonright A \cup \{A\}$.

Then for each $A \subseteq L$, $\langle A, \vec{\mathcal{I}} \upharpoonright A \rangle$ is an indexed template and $\mathcal{I}(A)$ is well-founded.

Given an indexed template $(L, \vec{\mathcal{I}})$ for $X \subseteq L$ define $D_p(X) := \text{rank}_{\mathcal{I}(X)}(X)$. Then $D_p: \mathcal{P}(L) \rightarrow \mathbf{ON}$ is monotone and

- 1 if $\exists x \in Y$ s.t. $X \in \mathcal{I}_x \upharpoonright Y$ then $D_p(X) < D_p(Y)$;
- 2 if in addition $X \subsetneq Y \cap L_x$, then also $D_p(X \cup \{x\}) < D_p(Y)$.

Given an indexed template $(L, \vec{\mathcal{I}})$ for $X \subseteq L$ define $D_p(X) := \text{rank}_{\mathcal{I}(X)}(X)$. Then $D_p: \mathcal{P}(L) \rightarrow \mathbf{ON}$ is monotone and

- 1 if $\exists x \in Y$ s.t. $X \in \mathcal{I}_x \upharpoonright Y$ then $D_p(X) < D_p(Y)$;
- 2 if in addition $X \subsetneq Y \cap L_x$, then also $D_p(X \cup \{x\}) < D_p(Y)$.

Iteration of Hechler posets along an indexed template

Let $\langle L, \vec{\mathcal{I}} \rangle$ template. For $A \subseteq L$, recursively on $D_p(A)$, define a poset $\mathbb{P} \upharpoonright A$ as follows:

- 1 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then there exists a $B \in \mathcal{I}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$, let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$ such that $q \upharpoonright L_y$ forces $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.

In either case B is called a *witness to $q \leq p$* .

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$, let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$ such that $q \upharpoonright L_y$ forces $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.

In either case B is called a **witness to $q \leq p$** .

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$, let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$ such that $q \upharpoonright L_y$ forces $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.

In either case B is called a **witness to $q \leq p$** .

Let $(L, \vec{\mathcal{I}})$ be an indexed template and $\mathbb{P} \upharpoonright L$ an iteration of Hechler forcing along it.

- 1 Let $A \subset B$, $B \subseteq L$. Then $\mathbb{P} \upharpoonright A \triangleleft \mathbb{P} \upharpoonright B$.
- 2 The poset $\mathbb{P} \upharpoonright L$ is ccc (and furthermore Knaster).
- 3 Let $x \in L$, $A \in \mathcal{I}$, $A \subseteq L_x$. Then $(\mathbb{P} \upharpoonright A) * \dot{D} \triangleleft \mathbb{P} \upharpoonright L$.
- 4 For any $p \in \mathbb{P} \upharpoonright L$ there is a **countable** $A \subseteq L$ such that $p \in \mathbb{P} \upharpoonright A$. If \dot{f} is a $\mathbb{P} \upharpoonright L$ -name for a real then there is a **countable** $A \subseteq L$ such that \dot{f} is a $\mathbb{P} \upharpoonright A$ -name.

Examples:

- Let $L = \mu (= L_\mu)$ be an ordinal,
 $\mathcal{I} = \{L_\alpha = \alpha : \alpha \leq \mu\} = \mu + 1$. Then $\mathbb{P} \upharpoonright L$ is the μ -stage finite support iteration of \mathbb{D} .
- Let L be arbitrary and let $\mathcal{I} = [L]^{<\omega} \cup \{L\}$. Then (L, \mathcal{I}) is a template and $\mathbb{P} \upharpoonright L$ adds a family of functions in ${}^\omega \omega$ which is canonically order isomorphic to L .

Theorem (Shelah)

Assume CH. Let $\lambda > \mu > \aleph_1$ and $\lambda = \lambda^\omega$ be regular cardinals. Then there is a template $L(\mu, \lambda)$ such that in the generic extension obtained by iterating Hechler forcing along it,

$$s = \aleph_1 < b = d = \mu < a = c = \lambda.$$

$$L = L(\mu, \lambda)$$

Let λ^* be a disjoint copy of λ with the reverse ordering. Then $L = L(\mu, \lambda)$ consists of all non-empty finite sequences x such that $x(0) \in \mu$ and $x(n) \in \lambda^* \cup \lambda$ for $n > 0$.

Define $x < y$ iff either $x(0) < y(0)$, or

- $x \subset y$ and $y(|x|) \in \lambda$, or
- $y \subset x$ and $x(|y|) \in \lambda^*$, or
- for $n := \min\{m : x(m) \neq y(m)\} > 0$, either $x(n) \in \lambda^*$ and $y(n) \in \lambda$, or both are in λ and $x(n) <_{\lambda} y(n)$, or both are in λ^* and $x(n) >_{\lambda^*} y(n)$.

$$L = L(\mu, \lambda)$$

Let λ^* be a disjoint copy of λ with the reverse ordering. Then $L = L(\mu, \lambda)$ consists of all non-empty finite sequences x such that $x(0) \in \mu$ and $x(n) \in \lambda^* \cup \lambda$ for $n > 0$.

Define $x < y$ iff either $x(0) < y(0)$, or

- $x \subset y$ and $y(|x|) \in \lambda$, or
- $y \subset x$ and $x(|y|) \in \lambda^*$, or
- for $n := \min\{m : x(m) \neq y(m)\} > 0$, either $x(n) \in \lambda^*$ and $y(n) \in \lambda$, or both are in λ and $x(n) <_{\lambda} y(n)$, or both are in λ^* and $x(n) >_{\lambda^*} y(n)$.

$$\mathcal{I} = \mathcal{I}(\mu, \lambda)$$

To define the template structure on L , choose a seq.

$\Sigma = \langle S_\alpha \rangle_{\alpha < \omega_1}$ of pairwise disjoint, coinital subsets of λ^* such that $\lambda^* = \bigcup \Sigma$.

- Say $x \in L$ to be **relevant** if $|x| \geq 3$ is odd, $x(n) \in \lambda^*$ for odd n , and $x(n) \in \lambda$ for even n , $x(|x| - 1) \in \omega_1$, and whenever $n < m$ are even such that $x(n), x(m) < \omega_1$, then there are $\beta < \alpha$ such that $x(n-1) \in S^\alpha$, $x(m-1) \in S^\beta$.
- For relevant x set $J_x = [x \upharpoonright (|x| - 1), x)$. If $x < y$ are relevant, then either $J_y \cap J_x = \emptyset$, or $J_x \subset J_y$.

Then $\mathcal{I}(\mu, \lambda)$ consists of all finite unions of sets from $\{L_\alpha : \alpha \leq \mu\} \cup \{J_x : x \text{ relevant}\} \cup \{\{x\} : x \in L\}$.

Short scales

Let G be \mathbb{P} -generic and let f_α be the Hechler generic added in coordinate $\alpha < \mu$.

- Let f be a real in $V[G]$. Then $\exists A \in [L]^{\leq \omega}$ s.t. $f \in V[G \cap \mathbb{P} \upharpoonright A]$. Since $\mu \subseteq L$ is regular, uncountable $\exists \alpha < \mu (A \subseteq L_\alpha)$. Thus $f \in V[\mathbb{P} \upharpoonright L_\alpha \cap G]$. Since $L_\alpha \in \mathcal{I}$, $\mathbb{P} \upharpoonright L_\alpha * \dot{\mathbb{D}} \triangleleft \mathbb{P}$, and so $f \leq^* f_\alpha$. Therefore $\langle f_\alpha : \alpha < \mu \rangle$ is dominating, and so $\mathfrak{d} \leq \mu$.
- If $F \subseteq \omega^\omega$ is a family of size $< \mu$ in $V[G]$, then there must be some $\alpha < \mu$ such that all reals of F already are in $V[G \cap \mathbb{P} \upharpoonright L_\alpha]$, and so f_α dominates F . Thus $\mu \leq \mathfrak{b}$.

Therefore $\mu \leq \mathfrak{b} \leq \mathfrak{d} \leq \mu$.

Isomorphism of names

Let $\kappa \geq \omega \cdot 2$, $\mu \leq \kappa < \lambda$ and let $\{\dot{A}^\alpha\}_{\alpha < \kappa}$ be names for a.d. sets in $[\omega]^{\leq \omega}$. For $n \in \omega$ let $\{p_{n,i}^\alpha\}_{i \in \omega}$ be a max antichain deciding " $n \in \dot{A}^\alpha$ ". That is, there are $\{k_{n,i}^\alpha\}_{i,n \in \omega} \subseteq \{0, 1\}$ such that

$$p_{n,i}^\alpha \Vdash n \in \dot{A}^\alpha \text{ iff } k_{n,i}^\alpha = 1 \text{ and } p_{n,i}^\alpha \Vdash n \notin \dot{A}^\alpha \text{ iff } k_{n,i}^\alpha = 0.$$

Then $B^\alpha = \bigcup_{n,i \in \omega} \text{dom}(p_{n,i}^\alpha)$ is at most countable.

By CH, Δ -system Lemma, wlg $\{B^\alpha\}_{\alpha < \omega_2}$ form a Δ -system with root R . Let $\phi_{B^\alpha, B^\beta} : B^\alpha \rightarrow B^\beta$ be a bijection fixing R . It induces $\psi_{B^\alpha, B^\beta} : \mathbb{P} \upharpoonright B^\alpha \cong \mathbb{P} \upharpoonright B^\beta$, which extends to an isomorphism between $\mathbb{P} \upharpoonright B^\alpha$ and $\mathbb{P} \upharpoonright B^\beta$ -names. There are only $2^{\aleph_0} = \aleph_1$ isomorphism types of names, we may suppose that ψ_{B^α, B^β} identifies \dot{A}^α with \dot{A}^β , e.g. $\psi(p_{n,i}^\alpha) = p_{n,i}^\beta$ and $k_{n,i}^\alpha = k_{n,i}^\beta := k_{n,i}$

The properties of $L_{\mu,\lambda}$ allow to obtain an appropriate $B^\kappa \subseteq L$ such that for all $\alpha < \omega_1$, $\mathbb{P} \upharpoonright B^\kappa \cong \mathbb{P} \upharpoonright B^\alpha$. Define \dot{A}^κ to be the image of \dot{A}^α under this isomorphism. Let $\beta < \kappa$. Then there is $\alpha < \omega_1$ such that $\mathbb{P} \upharpoonright B^\kappa \cup B^\beta \cong \mathbb{P} \upharpoonright B^\alpha \cup B^\beta$ and the same isomorphism sends \dot{A}^κ to \dot{A}^α . Since \dot{A}^α and \dot{A}^β are forced to be almost disjoint, so are \dot{A}^κ and \dot{A}^β . Thus \mathcal{A} is not maximal. \square

Preservation of splitting

- Baumgartner and Dordal proved that the finite support iteration of Hechler forcing over a model of CH preserves a witness to $\mathfrak{s} = \aleph_1$.
- Their preservation theorem has an analogue in the context of template iterations. Thus if we add ω_1 -Cohen reals \mathcal{C} to a model of CH and iterate \mathbb{D} along $L(\mu, \lambda)$, the set \mathcal{C} remains a splitting family in the final generic extension and so a witness to $\mathfrak{s} = \aleph_1$ in $V^{\mathbb{P} \upharpoonright L}$.

Assume CH.

- (J. Brendle, 2003) It is relatively consistent that $\text{cof}(\mathfrak{a}) = \omega$.
- (V.F., A.Törnquist, 2013) It is relatively consistent that $\text{cof}(\mathfrak{a}_g) = \omega$.

Furthermore, we obtain a uniform proof to the consistency of each of \mathfrak{a} , \mathfrak{a}_g , \mathfrak{a}_p , \mathfrak{a}_e being of countable cofinality by axiomatizing Brendle's technique of iteration along a **two-sided template**. Such constructions allow for a **product-like forcing** to be completely embedded into the template forcing iteration.

Assume CH.

- (J. Brendle, 2003) It is relatively consistent that $\text{cof}(\mathfrak{a}) = \omega$.
- (V.F., A.Törnquist, 2013) It is relatively consistent that $\text{cof}(\mathfrak{a}_g) = \omega$.

Furthermore, we obtain a uniform proof to the consistency of each of \mathfrak{a} , \mathfrak{a}_g , \mathfrak{a}_p , \mathfrak{a}_e being of countable cofinality by axiomatizing Brendle's technique of iteration along a **two-sided template**. Such constructions allow for a **product-like forcing** to be completely embedded into the template forcing iteration.

Assume CH.

- (J. Brendle, 2003) It is relatively consistent that $\text{cof}(\mathfrak{a}) = \omega$.
- (V.F., A.Törnquist, 2013) It is relatively consistent that $\text{cof}(\mathfrak{a}_g) = \omega$.

Furthermore, we obtain a uniform proof to the consistency of each of \mathfrak{a} , \mathfrak{a}_g , \mathfrak{a}_p , \mathfrak{a}_e being of countable cofinality by axiomatizing Brendle's technique of iteration along a **two-sided template**. Such constructions allow for **a product-like forcing** to be completely embedded into the template forcing iteration.

Theorem (Shelah)

Assume CH. Let $\lambda > \mu > \aleph_1$, $\lambda = \lambda^\omega$ be regular.

- 1 There is a forcing extension satisfying $\text{add}(\mathcal{N}) = \text{cov}(\mathcal{N}) = \aleph_1$, $\mathfrak{b} = \mathfrak{d} = \mu$, $\text{non}(\mathcal{N}) = \text{cof}(\mathcal{N}) = \lambda = \mathfrak{c}$.
- 2 There is a forcing extension satisfying $\text{add}(\mathcal{N}) = \text{cof}(\mathcal{N}) = \mu$ and $\mathfrak{a} = \mathfrak{c} = \lambda$.
- 3 There is a forcing extension satisfying $\mathfrak{a} = \aleph_1$, $\text{non}(\mathcal{M}) = \text{cov}(\mathcal{M}) = \mu$, $\mathfrak{a}_g = \mathfrak{c} = \lambda$.

Proof:

Let \mathcal{T} be the template $(L(\mu, \lambda), \mathcal{I}(\mu, \lambda))$.

- 1 $\mathbb{P}(\mathcal{T}, \mathbb{D})$.
- 2 $\mathbb{P}(\mathcal{T}, \mathbb{L})$.
- 3 $\mathbb{P}(\mathcal{T}, \mathbb{E})$.



Problem

Is $\aleph_1 < \mathfrak{s} < \mathfrak{b} < \mathfrak{a}$ consistent?

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \mathbb{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \dot{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \dot{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \dot{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \mathbb{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

Iteration along an indexed template template

Let θ be a regular uncountable cardinal, $\langle L, \hat{\mathcal{I}} \rangle$ indexed template, H, M disjoint sets with $L = H \cup M$. For each $x \in M$ let $C_x \in \hat{\mathcal{I}}_x$ be fixed of size $< \theta$. For $A \subseteq L$, recursively on $\text{Dp}(A)$, define a poset $\mathbb{P} \upharpoonright A$ and for each $x \in A$ and $B \in \hat{\mathcal{I}}_x \upharpoonright A$, a $\mathbb{P} \upharpoonright B$ -name \dot{Q}_x^B as follows:

- 1 If $x \in H$ then \dot{Q}_x^B is a $\mathbb{P} \upharpoonright B$ -name for $\mathbb{D}^{V^{\mathbb{P} \upharpoonright B}}$.
- 2 If $x \in M$, for a fixed $\mathbb{P} \upharpoonright C_x$ -name \dot{F}_x for a filter base of size $< \theta$, $\dot{Q}_x^B = \mathbb{M}_{\dot{F}_x}$ if $C_x \subseteq B$, or name for the trivial poset otherwise.
- 3 $p \in \mathbb{P} \upharpoonright A$ iff p is a finite sequence of names such that $\text{dom } p \subseteq A$ and either $p = \emptyset$ or, if $x = \max(\text{dom } p)$, then $\exists B \in \hat{\mathcal{I}}_x \upharpoonright A$ such that $p \upharpoonright L_x \in \mathbb{P} \upharpoonright B$ and $p(x)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_x^B .

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$ and let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in \dot{Q}_y^B such that $q \upharpoonright L_y$ forces in $\mathbb{P} \upharpoonright B$ that $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_y^B .

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$ and let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in $\dot{\mathbb{Q}}_y^B$ such that $q \upharpoonright L_y$ forces in $\mathbb{P} \upharpoonright B$ that $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in $\dot{\mathbb{Q}}_y^B$.

The extension relation

Let $p, q \in \mathbb{P} \upharpoonright A$ and let $x := \max(\text{dom } p)$, $y := \max(\text{dom } q)$ in case p, q are non-empty. Then $q \leq_A p$ if $\text{dom } p \subseteq \text{dom } q$ and either $p = \emptyset$, or

- 1 $x = y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p \upharpoonright L_y, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p \upharpoonright L_y$ and $p(y), q(y)$ are $\mathbb{P} \upharpoonright B$ -names for conditions in \dot{Q}_y^B such that $q \upharpoonright L_y$ forces in $\mathbb{P} \upharpoonright B$ that $q(y) \leq p(y)$, or
- 2 $x < y$ and $\exists B \in \mathcal{I}_y \upharpoonright A$ such that $p, q \upharpoonright L_y \in \mathbb{P} \upharpoonright B$, $q \upharpoonright L_y \leq_B p$ and $q(y)$ is a $\mathbb{P} \upharpoonright B$ -name for a condition in \dot{Q}_y^B .

Note that for each $A \subseteq L$:

- 1 If $X \subseteq A$ then $\mathbb{P} \upharpoonright X \triangleleft \mathbb{P} \upharpoonright A$;
- 2 $\mathbb{P} \upharpoonright A$ is ccc;
- 3 if $p \in \mathbb{P} \upharpoonright A$ and \dot{x} is a $\mathbb{P} \upharpoonright A$ -name for a real, then there is $C \subseteq A$ of size $< \theta$ such that $p \in \mathbb{P} \upharpoonright C$ and \dot{x} is a $\mathbb{P} \upharpoonright C$ -name.

Note that for each $A \subseteq L$:

- 1 If $X \subseteq A$ then $\mathbb{P} \upharpoonright X \triangleleft \mathbb{P} \upharpoonright A$;
- 2 $\mathbb{P} \upharpoonright A$ is ccc;
- 3 if $p \in \mathbb{P} \upharpoonright A$ and \dot{x} is a $\mathbb{P} \upharpoonright A$ -name for a real, then there is $C \subseteq A$ of size $< \theta$ such that $p \in \mathbb{P} \upharpoonright C$ and \dot{x} is a $\mathbb{P} \upharpoonright C$ -name.

Note that for each $A \subseteq L$:

- 1 If $X \subseteq A$ then $\mathbb{P} \upharpoonright X \triangleleft \mathbb{P} \upharpoonright A$;
- 2 $\mathbb{P} \upharpoonright A$ is ccc;
- 3 if $p \in \mathbb{P} \upharpoonright A$ and \dot{x} is a $\mathbb{P} \upharpoonright A$ -name for a real, then there is $C \subseteq A$ of size $< \theta$ such that $p \in \mathbb{P} \upharpoonright C$ and \dot{x} is a $\mathbb{P} \upharpoonright C$ -name.

Width of Shelah's template

Fix uncountable regular cardinals $\theta < \mu < \lambda$.

- For $\delta \leq \lambda$ let L^δ be the linear order $L(\lambda\mu, \delta)$ and
- let \mathcal{I}^δ be the template structure $\mathcal{I}(\lambda\mu, \delta)$.
- Then $\langle L^\delta, \bar{\mathcal{I}}^\delta \rangle$ is an indexed template, where \mathcal{I}_x^δ is the family $\{A \in \mathcal{I}^\delta : A \subseteq L_x^\delta\}$.

Eliminating small mad families

Assume $\theta^{<\theta} = \theta$ and $\lambda^{<\lambda} = \lambda$. Let $\theta^+ < \delta < \lambda$, \mathbb{P}^δ be an iteration of \mathbb{D} and Mathias forcings of size $< \theta$ along L^δ and \dot{A} a $\mathbb{P} \upharpoonright L^\delta$ -name of an a.d. family of size $\kappa \in (\theta, \lambda)$. Then, there is a $\delta < \delta' < \lambda$ and an iteration $\mathbb{P}^{\delta'}$ along $L^{\delta'}$ such that

- 1 $\mathbb{P}^\delta \upharpoonright X = \mathbb{P}^{\delta'} \upharpoonright X$ for all $X \subseteq L^\delta$,
- 2 for any $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ -name \dot{F} for a filter base of size $< \theta$, there is an $x \in M^{\delta'}$ such that $\Vdash_{\delta'} \dot{F} = \dot{F}_x$ and
- 3 $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ forces that \dot{A} is not mad.

Eliminating small mad families

Assume $\theta^{<\theta} = \theta$ and $\lambda^{<\lambda} = \lambda$. Let $\theta^+ < \delta < \lambda$, \mathbb{P}^δ be an iteration of \mathbb{D} and Mathias forcings of size $< \theta$ along L^δ and \dot{A} a $\mathbb{P} \upharpoonright L^\delta$ -name of an a.d. family of size $\kappa \in (\theta, \lambda)$. Then, there is a $\delta < \delta' < \lambda$ and an iteration $\mathbb{P}^{\delta'}$ along $L^{\delta'}$ such that

- 1 $\mathbb{P}^\delta \upharpoonright X = \mathbb{P}^{\delta'} \upharpoonright X$ for all $X \subseteq L^\delta$,
- 2 for any $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ -name \dot{F} for a filter base of size $< \theta$, there is an $x \in M^{\delta'}$ such that $\Vdash_{\delta'} \dot{F} = \dot{F}_x$ and
- 3 $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ forces that \dot{A} is not mad.

Eliminating small mad families

Assume $\theta^{<\theta} = \theta$ and $\lambda^{<\lambda} = \lambda$. Let $\theta^+ < \delta < \lambda$, \mathbb{P}^δ be an iteration of \mathbb{D} and Mathias forcings of size $< \theta$ along L^δ and \dot{A} a $\mathbb{P} \upharpoonright L^\delta$ -name of an a.d. family of size $\kappa \in (\theta, \lambda)$. Then, there is a $\delta < \delta' < \lambda$ and an iteration $\mathbb{P}^{\delta'}$ along $L^{\delta'}$ such that

- 1 $\mathbb{P}^\delta \upharpoonright X = \mathbb{P}^{\delta'} \upharpoonright X$ for all $X \subseteq L^\delta$,
- 2 for any $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ -name \dot{F} for a filter base of size $< \theta$, there is an $x \in M^{\delta'}$ such that $\Vdash_{\delta'} \dot{F} = \dot{F}_x$ and
- 3 $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ forces that \dot{A} is not mad.

Eliminating small mad families

Assume $\theta^{<\theta} = \theta$ and $\lambda^{<\lambda} = \lambda$. Let $\theta^+ < \delta < \lambda$, \mathbb{P}^δ be an iteration of \mathbb{D} and Mathias forcings of size $< \theta$ along L^δ and \dot{A} a $\mathbb{P} \upharpoonright L^\delta$ -name of an a.d. family of size $\kappa \in (\theta, \lambda)$. Then, there is a $\delta < \delta' < \lambda$ and an iteration $\mathbb{P}^{\delta'}$ along $L^{\delta'}$ such that

- 1 $\mathbb{P}^\delta \upharpoonright X = \mathbb{P}^{\delta'} \upharpoonright X$ for all $X \subseteq L^\delta$,
- 2 for any $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ -name \dot{F} for a filter base of size $< \theta$, there is an $x \in M^{\delta'}$ such that $\Vdash_{\delta'} \dot{F} = \dot{F}_x$ and
- 3 $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ forces that \dot{A} is not mad.

Eliminating small mad families

Assume $\theta^{<\theta} = \theta$ and $\lambda^{<\lambda} = \lambda$. Let $\theta^+ < \delta < \lambda$, \mathbb{P}^δ be an iteration of \mathbb{D} and Mathias forcings of size $< \theta$ along L^δ and \dot{A} a $\mathbb{P} \upharpoonright L^\delta$ -name of an a.d. family of size $\kappa \in (\theta, \lambda)$. Then, there is a $\delta < \delta' < \lambda$ and an iteration $\mathbb{P}^{\delta'}$ along $L^{\delta'}$ such that

- 1 $\mathbb{P}^\delta \upharpoonright X = \mathbb{P}^{\delta'} \upharpoonright X$ for all $X \subseteq L^\delta$,
- 2 for any $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ -name \dot{F} for a filter base of size $< \theta$, there is an $x \in M^{\delta'}$ such that $\Vdash_{\delta'} \dot{F} = \dot{F}_x$ and
- 3 $\mathbb{P}^{\delta'} \upharpoonright L^{\delta'}$ forces that \dot{A} is not mad.

Theorem (V.F. and D. Mejía)

There is an iteration \mathbb{P}^λ along L^λ that forces $\mathfrak{s} = \theta < \mathfrak{b} = \mathfrak{d} = \mu < \mathfrak{a} = \mathfrak{c} = \lambda$.

- To get an upper bound for \mathfrak{s} adjoint a family \mathcal{C} of Cohen reals of size θ . The preservation theorem for the iteration of \mathbb{D} along a template generalize to the iteration of Mathias posets of size $< \theta$ and \mathbb{D} along a template to guarantee that \mathcal{C} remains splitting in the final extension. Guaranteeing that all pseudo-bases of size $< \theta$ have been diagonalized implies $\theta \leq \mathfrak{s}$ and so $V^{\mathbb{P}^\lambda} \models \mathfrak{s} = \theta$.

Theorem (V.F. and D. Mejía)

There is an iteration \mathbb{P}^λ along L^λ that forces
 $\mathfrak{s} = \theta < \mathfrak{b} = \mathfrak{d} = \mu < \mathfrak{a} = \mathfrak{c} = \lambda$.

- To get an upper bound for \mathfrak{s} adjoint a family \mathcal{C} of Cohen reals of size θ . The preservation theorem for the iteration of \mathbb{D} along a template generalize to the iteration of Mathias posets of size $< \theta$ and \mathbb{D} along a template to guarantee that \mathcal{C} remains splitting in the final extension. Guaranteeing that all pseudo-bases of size $< \theta$ have been diagonalized implies $\theta \leq \mathfrak{s}$ and so $V^{\mathbb{P}^\lambda} \models \mathfrak{s} = \theta$.

- Using an appropriate book-keeping device, and extending λ -many times a given template of width $< \lambda$ to adjoin a real almost disjoint from the elements of a given mad family of size $< \lambda$, we obtain a template L^λ such that for an appropriate iteration $\mathbb{P} \upharpoonright L^\lambda$, in $V^{\mathbb{P} \upharpoonright L^\lambda}$ there are no m.a.d. families of size $< \mathfrak{c} = \lambda$.
- To provide $V^{\mathbb{P} \upharpoonright L^\lambda} \models \mathfrak{b} = \mathfrak{d} = \mu$ it is sufficient to guarantee that $H \cap \{\langle \alpha \rangle : \alpha \in \lambda^\mu\}$ is cofinal in L .

Some open questions

- Can we modify the above construction so that in addition α is of countable cofinality?
- Is it consistent that $\aleph_1 < \alpha < \text{non}(\mathcal{M}) < \alpha_g$?

Some open questions

- Can we modify the above construction so that in addition α is of countable cofinality?
- Is it consistent that $\aleph_1 < \alpha < \text{non}(\mathcal{M}) < \alpha_g$?

Thank you!